May 2019

Maximum Oriented Forcing Number for Complete Graphs

Yair Caro

University of Haifa-Oranim, yacaro@kvgeva.org.il

Ryan Pepper

University of Houston - Downtown, pepperr@uhd.edu

Follow this and additional works at: <https://digitalcommons.georgiasouthern.edu/tag>

Part of the [Discrete Mathematics and Combinatorics Commons](#)

Recommended Citation

Caro, Yair and Pepper, Ryan (2019) "Maximum Oriented Forcing Number for Complete Graphs," *Theory and Applications of Graphs*: Vol. 6 : Iss. 1 , Article 6.

DOI: 10.20429/tag.2019.060106

Available at: <https://digitalcommons.georgiasouthern.edu/tag/vol6/iss1/6>

This article is brought to you for free and open access by the Journals at Digital Commons@Georgia Southern. It has been accepted for inclusion in Theory and Applications of Graphs by an authorized administrator of Digital Commons@Georgia Southern. For more information, please contact digitalcommons@georgiasouthern.edu.

Abstract

The *maximum oriented k -forcing number* of a simple graph G , written $\text{MOF}_k(G)$, is the maximum *directed k -forcing number* among all orientations of G . This invariant was recently introduced by Caro, Davila and Pepper in [6], and in the current paper we study the special case where G is the complete graph with order n , denoted K_n . While $\text{MOF}_k(G)$ is an invariant for the underlying simple graph G , $\text{MOF}_k(K_n)$ can also be interpreted as an interesting property for tournaments. Our main results further focus on the case when $k = 1$. These include a lower bound on $\text{MOF}(K_n)$ of roughly $\frac{3}{4}n$, and for $n \geq 2$, a lower bound of $n - \frac{2n}{\log_2(n)}$. We also consider various lower bounds on the maximum oriented k -forcing number for the closely related complete q -partite graphs.

Keywords: tournaments, maximum oriented forcing, zero forcing sets, zero forcing number, k -forcing sets, k -forcing number, forcing number, oriented complete graphs

AMS subject classification: 05C69

1 Introduction

In this paper we discuss the maximum k -forcing number over all orientations of a complete graph, which is an interesting case of a more general concept recently introduced by Caro, Davila and Pepper [6]. We were motivated to study this particular case because, while working on [6], we realized that while zero-forcing for complete graphs is trivial, the same problem for oriented complete graphs was highly non-trivial. A secondary motivation was the analogy between our work and two classical results dealing with directed complete graphs (tournaments), namely [10] and [15].

The concepts in this paper generalize both the directed zero forcing number, first introduced in [11] and studied in [3], while also expanding recent work on the k -forcing number introduced in [2] and studied further in [5]. The idea of zero forcing (for simple graphs) was introduced independently in [1] and [4]. In [1], zero forcing was used to bound from below the minimum rank of a graph, or equivalently, to bound from above the maximum nullity of a graph. In [4], it is indirectly introduced in relation to a study of control of quantum systems. Additionally, zero forcing number is closely related to the Power Dominating Set problem, which is motivated by monitoring electric power networks using Kirchoff's Law [18]. Many other papers have been written about zero forcing in recent years (for example [7, 12, 17]). While most of the first papers written were from a linear algebra point of view ([3, 9, 14]), a fruitful change to a graph theoretic approach, and connection to basic graph parameters such as degree and connectivity, as well as the more general notion of k -forcing, was introduced and developed in [2], [5] and [6]. The main point of this paper is to focus the attention on complete graphs and complete q -partite graphs, where we already get some interesting results.

Let G be a finite and simple undirected graph with vertex set $V = V(G)$ and edge set $E = E(G)$. We say that G is *oriented* by assigning to each edge $\{u, v\} \in E$ exactly one of the ordered pairs (u, v) and (v, u) – which we call *arcs*. We call the resulting digraph D an *orientation* of G , and say that D is an oriented graph with underlying graph G . If (u, v) is an arc of D , then we say that u is *directed towards* v , that v is an *out-neighbor* of u , and that u is an *in-neighbor* of v . Following standard notation: we use $n = n(G)$, $\delta = \delta(G)$ and

$\Delta = \Delta(G)$ to denote the order of G , the minimum degree of G and the maximum degree of G respectively. A graph with $n = 1$ is called a *trivial graph*. If $E = \emptyset$, we say that G is the *empty graph*; otherwise G is a *non-empty graph*. The *degree* of a vertex v is denoted $d(v)$. For any vertex v of D , the *out-degree* (resp. *in-degree*) of v is denoted by $d^+(v)$ (resp. $d^-(v)$), and is the number of out-neighbors of v (resp. in-neighbors of v). The *minimum out-degree* (resp. in-degree) is denoted $\delta^+ = \delta^+(D)$ (resp. $\delta^- = \delta^-(D)$), and the maximum out-degree (resp. in-degree) is denoted $\Delta^+ = \Delta^+(D)$ (resp. $\Delta^- = \Delta^-(G)$). If every vertex has the same out-degree (resp. in-degree), then D is said to be *out-regular* (resp. *in-regular*). A *directed path* in D is a sequence of vertices $u_1, u_2, \dots, u_p$ of D such that (u_i, u_{i+1}) is an arc of D , $1 \leq i \leq p-1$. For terms not defined here, the reader is referred to [16].

Now we will describe the k -forcing process for oriented graphs. Suppose that D is an orientation of G , and S is some subset of colored vertices in D , all vertices not in S being non-colored. For each positive integer k , we define the k -color change rule as follows: any colored vertex that is directed towards at most k non-colored vertices (has at most k non-colored out-neighbors) forces each of these non-colored vertices to become colored. A colored vertex that forces a non-colored vertex to become colored is said to k -force that vertex to change color. By the *oriented k -forcing process starting from $S \subseteq V$* , we mean the process of first coloring the vertices of S , and then iteratively applying the k -color change rule as many times as possible. During each step (or iteration) of the oriented k -forcing process, all vertices that k -force do so simultaneously. If, after termination of the oriented k -forcing process, every vertex of D is colored, we say that S is an *oriented k -forcing set* (or simply a *k -forcing set*) for D . The cardinality of a smallest oriented k -forcing set for D is called the *oriented k -forcing number* of D and is denoted $F_k(D)$. When $k = 1$, we will drop the subscript from our notation and write $F(D)$ instead of $F_1(D)$, and this case corresponds to the directed zero forcing number. The maximum oriented k -forcing number, over all orientations of G , is denoted $\text{MOF}_k(G)$. The minimum oriented k -forcing number, over all orientations of G , which is denoted $\text{mof}_k(G)$ was also introduced and studied in [6]. These graph invariants turn out to be related to some other well studied graph parameters. For instance, in [6] it is shown that $\text{MOF}_k(G) \geq \alpha(G)$ and, when $k = 1$, $\text{mof}_1(G) = \text{mof}(G) = \rho(G)$, where $\alpha(G)$ is the independence number and $\rho(G)$ is the path covering number.

The remainder of the paper is organized as follows. In Section 2, we present our results about $\text{MOF}_k(K_n)$. In Section 3, we consider $\text{MOF}_k(G)$ when G is a complete q -partite graph. In Section 4, we offer some concluding remarks and acknowledgments.

As a notational convenience, we will use $\log(n)$ (in place of $\log_2(n)$) to denote the base 2 logarithm of n , and k will always denote a positive integer.

2 Main results

In this section, we study the maximum oriented k -forcing number for complete graphs. In what follows, we will need to recall that a *transitive orientation* of D , with vertices labeled $\{v_1, v_2, \dots, v_n\}$, is an orientation which satisfies: v_i is directed towards v_j if and only if $i < j$. Also, a *balanced orientation* of D is an orientation satisfying the inequality $|d^+(v) - d^-(v)| \leq 1$, for every vertex v . Note that while a complete graph has only one transitive orientation, up to isomorphism, it can have many different non-isomorphic balanced orientations. It

turns out that while the forcing number for the transitive orientation is about half the order (seen below), certain kinds of balanced orientations (or nearly balanced) can have forcing numbers that are quite high.

Proposition 2.1. *If D is a transitive orientation of K_n , then*

$$F_k(D) = \left\lceil \frac{n}{k+1} \right\rceil.$$

Proof. Let D be the transitive orientation of G , suppose G has $n = q(k+1) + r$ vertices where $0 \leq r < k+1$, $n \geq 2$ and $k < n$. Label the vertices so that v_i has in-degree $n-i$ and out-degree $i-1$. So, for illustration, v_1 has in-degree $n-1$ and out-degree 0, v_2 has in-degree $n-2$ and out-degree 1 and v_n has in-degree 0 and out-degree $n-1$. First we will show that $F_k(D) \leq \left\lceil \frac{n}{k+1} \right\rceil$.

Consider the set $S = \{v_{j(k+1)}\}_{j=1}^q \cup \{v_n\}$, where if $|S| = q$ if $r = 0$ and $|S| = q+1$ otherwise. Due to the transitive orientation, no vertex in S with higher label can k -force before vertices in S with lower labels. Since v_{k+1} is the lowest labeled vertex in S , we start by coloring that vertex. The vertex v_{k+1} has exactly $(k+1) - 1 = k$ out-neighbors and can k -force all of them to change color on the first step of the k -forcing process. Once the k out-neighbors of v_{k+1} are colored, the vertex $v_{2(k+1)}$ with out-degree $i-1 = 2(k+1) - 1 = 2k+1$ can color its k non-colored out-neighbors ($k+1$ of its out-neighbors are already colored). This process continues, with $v_{j(k+1)}$ coloring its remaining non-colored out-neighbors only after $v_{(j-1)(k+1)}$ colors its non-colored out-neighbors until $j = q$. At the last step, if $r = 0$ everything is colored, and if $r > 0$, the vertex v_n will color the remaining non-colored vertices since there will be at most $(n-1) - q(k+1) = r-1 < k+1-1 = k$ of them. This shows that S is a k -forcing set with $q = \left\lceil \frac{n}{k+1} \right\rceil$ vertices if $r = 0$ and $q+1 = \left\lceil \frac{q(k+1)+r}{k+1} \right\rceil = \left\lceil \frac{n}{k+1} \right\rceil$ vertices if $r > 0$. Thus, in either case we have $F_k(D) \leq \left\lceil \frac{n}{k+1} \right\rceil$.

Next we show that $F_k(D) \geq \left\lceil \frac{n}{k+1} \right\rceil$. Proceeding by contradiction, assume $F_k(D) < \left\lceil \frac{n}{k+1} \right\rceil$ and let S be a smallest k -forcing set with $|S| < \left\lceil \frac{n}{k+1} \right\rceil$. After coloring each vertex of S , and observing that each vertex could k -force at most k others, the total number of vertices that end up colored is $|S| + k|S| = |S|(k+1)$. Since S was an oriented k -forcing set, every vertex must have been colored so $|S|(k+1) \geq n = q(k+1) + r$. Thus, $|S| \geq q + \frac{r}{k+1}$. However, since $|S| < \left\lceil \frac{n}{k+1} \right\rceil = q + \left\lceil \frac{r}{k+1} \right\rceil$, and since $|S|$ is an integer, we reach a contradiction and this proves the theorem. $\square$

The result above leads to the following corollary, which partially supports a conjecture in [6], namely that $\text{MOF}_k(G) \geq \left\lceil \frac{n}{k+1} \right\rceil$, and in particular, $\text{MOF}(G) \geq \left\lceil \frac{n}{2} \right\rceil$.

Corollary 2.1. *For all positive integers n ,*

$$\text{MOF}_k(K_n) \geq \left\lceil \frac{n}{k+1} \right\rceil.$$

Proof. This follows because $\text{MOF}_k(K_n)$ is at least as much as the oriented k -forcing number of the transitive orientation which is $\left\lceil \frac{n}{k+1} \right\rceil$, as seen above. $\square$

We next recall two results from [6].

Theorem 2.2. [6] *Let G be a graph with n vertices and let D be an orientation of G which realizes $\text{MOF}(G)$, so that $F(D) = \text{MOF}(G)$. If H is an induced subgraph of D , then*

$$\text{MOF}(G) \leq F(H) + n - |H| \leq \text{MOF}(H) + n - |H|.$$

Order	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
MOF	2	2	3	3	4	5	6	6	7	8	8	9	10	10	11	12	13

Table 1: Values of $MOF(K_n)$ found from a computer program employing various theorems from [6], as well as Corollary 2.3, and with many processors running in parallel.

Proposition 2.2. [6] *If H is any induced subgraph of a graph G , then $MOF_k(G) \geq MOF_k(H)$.*

Applying these results to complete graphs, we get the following corollary.

Corollary 2.3. *If n is a positive integer, then $MOF(K_n) \leq MOF(K_{n+1}) \leq MOF(K_n) + 1$.*

Proof. The lower bound comes from Proposition 2.2. For the upper bound, let H be an induced K_n inside of a K_{n+1} . From Theorem 2.2,

$$MOF(K_{n+1}) \leq MOF(K_n) + (n+1) - |H| = MOF(K_n) + (n+1) - n = MOF(K_n) + 1.$$

□

Since $MOF(K_n)$ can grow by at most one as n grows by one, Corollary 2.3 considerably speeds up any attempt to find exactly the values of $MOF(K_n)$. Further speed ups to any computation of $MOF(K_n)$ come from other theorems in [6], in particular the Reversal Theorem. Namely, that the forcing number of an orientation of a graph is equal to the forcing number of its reversal. These ideas, and others, were used to write a computer program to find the exact value of $MOF(K_n)$ for $n < 20$. The results of this can be seen in Table 1. We are now ready to present our main results, which are lower bounds for $MOF(K_n)$.

Theorem 2.4. *If G is a graph with order n , then*

$$MOF(K_n) \geq \frac{3n-9}{4}.$$

Proof. As can be seen from inspection of Table 1 (below), this theorem is true for all values of $n \leq 10$ (achieving equality when $n = 6$ and $n = 10$ if we consider $\lceil \frac{3n-9}{4} \rceil$ since $MOF(K_n)$ is an integer). Let us then assume, without loss of generality, that $n \geq 10$.

Let q be the largest odd integer such that $n = 2q + r$ where $0 \leq r < q$. It can be readily seen then that $r \in \{0, 1, 2, 3\}$, since if $r \geq 4$, q was not the largest odd integer satisfying the equation. Hence, it is implied that $0 \leq r \leq 3$.

We consider the following orientation D of K_n . Partition the vertices into $q-r$ sets of order 2 and r sets of order 3. Label the $q-r$ sets of order 2 as $\{A_1, A_2, \dots, A_{q-r}\}$ and label the r sets of order 3 as $\{A_{q-r+1}, A_{q-r+2}, \dots, A_q\}$. Now split these sets into two nearly equal halves, with one having $\frac{q-1}{2}$ parts and the other having $\frac{q+1}{2}$ parts. Let the lowered labeled sets be in the smaller of these groups, so that each of the sets $\{A_1, A_2, \dots, A_{\frac{q-1}{2}}\}$ has order 2. Since $n \geq 10$, we know that $q \geq 5$, and this implies that all r extra vertices are in the higher labeled group. To ease the notation, let X denote the lowest $\frac{q-1}{2}$ labeled sets and let Y denote the highest $\frac{q+1}{2}$ labeled sets. Now, give each of these sets A_i the transitive orientation with respect to the other vertices in that set. Consider the sets themselves as vertices in larger odd order graph, and give that graph a balanced orientation in the following way. Each vertex in A_i is joined to each vertex in each of the next $\frac{q-1}{2}$ highest labeled sets

(wrapping around again when we get past A_q). So for example, if $q = 7$, then each vertex of A_2 is joined to each vertex of A_3 , A_4 , and A_5 .

Now we are ready to consider how many vertices need to be colored to have a chance at forcing the whole graph. In order for any vertex in Y to force any other vertex to change color, the initial set of colored vertices must be at least as large as $|X| - 1$. Considering the set Y as a separate oriented complete graph, we discover that it has the transitive orientation. Thus, according to Theorem 2.1, in order for that set to be colored, once X is colored, we need at least $\lceil \frac{|Y|}{2} \rceil$ many vertices to be initially colored. Taken together,

$$\text{MOF}(K_n) \geq F(D) \geq |X| - 1 + \lceil \frac{|Y|}{2} \rceil \geq 2\left(\frac{q-1}{2}\right) - 1 + \frac{2(\frac{q+1}{2}) + r}{2} = \frac{3n - 6 - r}{4}.$$

Finally, since $r \leq 3$, the result follows and the theorem is proven. $\square$

Lemma 2.5. *If $n = 3p + r \geq 9$, where $r \in \{0, 1, 2\}$, then $\text{MOF}(K_n) \geq p + 2\text{MOF}(K_p) - 3$.*

Proof. Assume $n = 3p + r \geq 9$ with $r \in \{0, 1, 2\}$. Partition K_n into three parts whose orders are as close to equal as possible. Label these three parts as V_1 , V_2 and V_3 . Let D denote the orientation of K_p which realizes $\text{MOF}(K_p)$, and let D_i denote the orientation of V_i which realizes $\text{MOF}(K_{|V_i|})$ for $i \in \{1, 2, 3\}$. Now, orient all other edges of the graph as follows. Each vertex in V_1 is directed toward each vertex of V_2 , each vertex of V_2 is directed towards each vertex of V_3 , and each vertex of V_3 is directed towards each vertex of V_1 . Call the completed orientation of K_n thus created D^* , and let S be a minimum oriented forcing set of D^* . Finally, for each $i \in \{1, 2, 3\}$, let $S_i = S \cap V_i$.

Not all vertices are originally colored, so there must be a vertex which forces at the first step of the forcing process. Let v be such a vertex and assume that $v \in V_1$. There are two main cases to consider, that v forces a vertex in V_2 or that v forces a vertex in V_1 .

First, suppose v forces a vertex in V_2 . This is only possible if all but one of the vertices of V_2 are already colored, implying $|S_2| = |V_2| - 1$. Next, in order for V_1 itself to be fully colored, either S_1 itself is an oriented forcing set of V_1 , which implies $|S_1| \geq F(D_1)$, or the last non-colored vertex from V_1 is forced by a vertex in V_3 . This later situation is only possible if all but one vertex in V_1 is already colored, which implies S_1 was able to color all but one vertex of V_1 so that $|S_1| \geq F(D_1) - 1$. Finally, in order now for V_3 to be fully colored, either S_3 itself was a forcing set of V_3 , which implies $|S_3| \geq F(D_3)$, or the last non-colored vertex of V_3 is forced by a vertex in V_2 . This later situation is only possible if all but one vertex of V_3 is already colored, which implies S_3 was able to color all but one vertex in V_3 so that $|S_3| \geq F(D_3) - 1$. Hence, summing the parts, we get:

$$|S| = |S_1| + |S_2| + |S_3| \geq (F(D_1) - 1) + (|V_2| - 1) + (F(D_3) - 1) = |V_2| + F(D_1) + F(D_3) - 3.$$

Second, suppose v forces a vertex in V_1 . This is clearly only possible if all of V_2 is already colored, which implies $|S_2| = |V_2|$. Now, the argument repeats as in the preceding paragraph. In order for V_1 to be fully colored, $|S_1| \geq F(D_1) - 1$ and in order for V_3 to be fully colored, $|S_3| \geq F(D_3) - 1$. Hence, summing the parts, we get:

$$|S| = |S_1| + |S_2| + |S_3| \geq (F(D_1) - 1) + (|V_2|) + (F(D_3) - 1) > |V_2| + F(D_1) + F(D_3) - 3.$$

Thus, in either case we have,

$$F(D^*) = |S| \geq |V_2| + F(D_1) + F(D_3) - 3. \quad (1)$$

If we assume $v \in V_2$ instead of V_1 , the argument above could be repeated and we would arrive at the inequality,

$$F(D^*) = |S| \geq |V_3| + F(D_1) + F(D_2) - 3. \quad (2)$$

If we assume $v \in V_3$ instead of V_1 , the argument above could be repeated and we would arrive at the inequality,

$$F(D^*) = |S| \geq |V_1| + F(D_2) + F(D_3) - 3. \quad (3)$$

To conclude, we make use of the facts that for each $i \in \{1, 2, 3\}$, $|V_i| \geq p$ and $F(D_i) \geq F(D) = \text{MOF}(K_p)$, to bound from below each of Inequalities 1, 2 and 3 by $p + 2\text{MOF}(K_p) - 3$. Therefore, $\text{MOF}(K_n) \geq F(D^*) \geq p + 2\text{MOF}(K_p) - 3$ as claimed. $\square$

Theorem 2.4 and Lemma 2.5 can be used together to help us prove the following main result, namely that $\text{MOF}(K_n)$ is asymptotically equal to n .

Theorem 2.6. *For all positive integers $n \geq 2$,*

$$\text{MOF}(K_n) \geq n - \frac{2n}{\log(n)}.$$

Proof. Proceeding by mathematical induction, notice that for all values of n in the range, $2 \leq n \leq 202$, the results follows from Theorem 2.4 since,

$$\text{MOF}(K_n) \geq \frac{3n - 9}{4} > n - \frac{2n}{\log(n)},$$

when $2 \leq n \leq 202$ as seen from calculation and inspection. This settles our base case. Assume now that the theorem is true for all complete graphs with smaller orders than K_n , with $n \geq 203$. We will show this implies it is also true for K_n . Let $n = 3p + r$, with $r \in \{1, 2, 3\}$. Now, from Lemma 2.5 we know that,

$$\text{MOF}(K_n) \geq p + 2\text{MOF}(K_p) - 3.$$

Since $p < n$, from our inductive assumption we know that $\text{MOF}(K_p) \geq p - \frac{2p}{\log(p)}$. Together, this implies,

$$\text{MOF}(K_n) \geq 3p - \frac{4p}{\log(p)} - 3.$$

It remains to show that $3p - \frac{4p}{\log(p)} - 3 \geq n - \frac{2n}{\log(n)}$. Replacing p by $\frac{n-r}{3}$, and rearranging the terms, this is equivalent to showing that,

$$\frac{2n}{\log(n)} \geq \frac{\frac{4}{3}(n-r)}{\log(\frac{n-r}{3})} + r + 3.$$

Finally, this last inequality is true for all $n \geq 117$, since the function,

$$f(n) = \frac{2n}{\log(n)} - \frac{\frac{4}{3}(n-r)}{\log(\frac{n-r}{3})} - r - 3,$$

is never negative for r and n in the ranges given, as can be seen using standard techniques from calculus and algebra, and we already assumed $n \geq 202$. The general result now follows by induction and the theorem is proven. $\square$

From this we easily deduce that $\frac{\text{MOF}(K_n)}{n} \rightarrow 1$ as $n \rightarrow \infty$. Furthermore, when combined with Proposition 2.2, we get the following corollary. Recall that the *clique number* of G , written $\omega(G)$, is the cardinality of a largest induced complete graph in G .

Corollary 2.7. *If G is a graph with clique number ω , then*

$$\text{MOF}(G) \geq \omega(G) - \frac{2\omega(G)}{\log(\omega(G))}.$$

Proof. Let H be a largest complete subgraph of G . From Proposition 2.2, $\text{MOF}(G) \geq \text{MOF}(H)$. Now, since H is a complete graph of order $\omega(G)$, the inequality follows from Theorem 2.6. $\square$

To conclude this section, we recall one more result from [6].

Corollary 2.8. [6] *If G is a graph with order n , then*

$$\text{MOF}(G) \leq n - \frac{\log(\omega(G))}{2}.$$

Thus, taken together with the observation that $\omega(K_n) = n$, we find the following.

Corollary 2.9. *For all positive integers n ,*

$$n - \frac{2n}{\log(n)} \leq \text{MOF}(K_n) \leq n - \frac{\log(n)}{2}.$$

3 The maximum oriented k -forcing number for complete q -partite graphs

In this section, we extend our investigation from complete graphs to complete q -partite graphs. Recall that a graph G is *q -partite* if its vertex set can be partitioned into $q \geq 2$ independent sets. The independent sets are called *parts* and, if G is q -partite, every edge in G has its two incident vertices in different parts. We say that G is a *complete q -partite graph* if G is q -partite with every possible edge between vertices in different parts.

Theorem 3.1. *If G is a complete q -partite graph and $n_1 \geq n_2 \geq \dots \geq n_q$ denote the orders of the partite sets, then*

$$\text{MOF}_k(G) \geq n_1 + \sum_{i=2}^q \max\{n_i - k, 0\}.$$

Proof. Label the partite sets as $A_1, A_2, \dots, A_q$, labeled so that larger parts have smaller labels. That is, if $i < j$, then $|A_i| \geq |A_j|$. Moreover, let $n_i = |A_i|$. Create the orientation D of the edges of G by directing vertices from parts with smaller labels towards parts with larger labels. That is, if $u \in A_i$ and $v \in A_j$, with $i < j$, then (u, v) is an arc in D (this is called the transitive orientation). Now, in D , all vertices from A_1 must be in any oriented k -forcing set. Moreover, with $i < j$, vertices from A_i could only k -force vertices from A_j if $\max\{n_i - k, 0\}$ vertices from A_j were already colored. Since this is true for all pairs i and j , we get the following lower bound on the oriented k -forcing number of D ,

$$F_k(D) \geq n_1 + \sum_{i=2}^q \max\{n_i - k, 0\}.$$

Finally, since $\text{MOF}_k(G) \geq F_k(D)$, the proof is complete. $\square$

Corollary 3.2. *If G is a complete q -partite graph, with $q \geq 2$, and k is a positive integer, then*

$$\text{MOF}_k(G) \geq n - k(q - 1).$$

Proof. Let G be a complete q -partite graph with parts $A_1, A_2, \dots, A_q$. Set $|A_i| = n_i$ and without loss of generality, assume $n_1 \geq n_2 \geq \dots \geq n_q$. From Theorem 3.1 above, together with the fact that $\max\{n_i - k, 0\} \geq n_i - k$, we get the following chain of inequalities;

$$\text{MOF}_k(G) \geq n_1 + \sum_{i=2}^q \max\{n_i - k, 0\} \geq n_1 + \sum_{i=2}^q (n_i - k) = n - k(q - 1),$$

which completes the proof. $\square$

Specifying that $k = 1$, we arrive at the result below.

Corollary 3.3. *If G is a complete q -partite graph, with $q \geq 2$, then*

$$\text{MOF}(G) \geq n - q + 1.$$

This inequality is sharp when $q = 2$ or when $q = 3$ and each part has at least two vertices.

Proof. The inequality comes from substituting $k = 1$ into the above corollary. To see that equality holds when $q = 2$, the complete bipartite case, we first note that $\text{MOF}(G) \leq n - 1$ for any non-empty graph G . This is because there is always a vertex v with in-degree at least one in such cases, and the set of all vertices other than v is a forcing set. To see that $\text{MOF}(G) \geq n - 1$, let A and B be the two parts and direct all edges from A to B . Now each vertex of A is necessarily in any forcing set and nothing can be forced unless at least $|B| - 1$ vertices from B are included. Therefore, $\text{MOF}(G) = n - 1 = n - q + 1$, as claimed.

Next we show that the inequality is sharp when $q = 3$ and each part has at least two vertices. Let A, B , and C be the three parts with cardinalities $a \geq b \geq c \geq 2$ respectively. It is sufficient to show that $\text{MOF}(G) \leq n - q + 1 = n - 2 = a + b + c - 2$, since the same lower bound can be established by using the same transitive orientation as above. To this end, let D be any orientation of G . We first show that there must be two vertices, u and v , in two different parts, with in-degree at least one. To see this, suppose there was a vertex

w such that $d^+(w) = d(w)$. In this case, each vertex in the two parts not containing w have in-degree at least one and we are done. On the other hand, if no such w exists, then all vertices have $d^+(w) < d(w)$ and consequently have in-degree at least one, and we are done. So, let u and v be vertices in different parts such that $d^-(u) \geq 1$ and $d^-(v) \geq 1$. Assume $u \in B$ and $v \in C$, and note that the cases where u and v are in different sets are similar. Let $u^* \in B$ and $v^* \in C$ be vertices from B and C respectively, different from u and v (since each part has at least two vertices). Suppose (v, u) is an arc (the case of (u, v) being an arc would be handled similarly). This implies there is a vertex w such that (w, v) is an arc since $d^-(v) \geq 1$. If both (v, u^*) and (u, v^*) are arcs, the set $V \setminus \{v^*, u^*\}$ is a forcing set of order $n - 2$ since on the first step of the forcing process, u forces v^* and v forces u^* . Otherwise, either (v^*, u) or (u^*, v) is an arc. Suppose (v^*, u) is an arc, and consider the set $V \setminus \{v, u\}$. This is a forcing set of order $n - 2$, since v^* forces u and then w forces v . Suppose (u^*, v) is an arc, and consider the set $V \setminus \{v, u\}$. This is a forcing set of order $n - 2$, since u^* forces v and then v forces u . Therefore, $F(D) \leq n - 2$ for any orientation D . Consequently, $\text{MOF}(G) \leq n - q + 1 = n - 2 = a + b + c - 2$, completing the proof. $\square$

For $q \geq 9$, and each of the q parts has at least three vertices, we have examples where $\text{MOF}(G) > n - q + 1$, so the inequality is not sharp for large values of q . We do not know the situation for $4 \leq q \leq 8$.

If G is a complete q -partite graph, then G contains a subgraph isomorphic to K_q . This observation together with Theorem 2.2 shows that the maximum oriented forcing number of a q -partite graph can be bounded from above in terms of $\text{MOF}(K_q)$. In particular, together with Corollary 3.3, we have the following.

Corollary 3.4. *If G is a complete q -partite graph with order n , and $q \geq 2$, then*

$$n - q + 1 \leq \text{MOF}(G) \leq n - q + \text{MOF}(K_q).$$

4 Concluding remarks and acknowledgments

In this paper we have given a detailed study of the maximum k -forcing number over all orientations of complete graphs and complete q -partite graphs. However, our focus was primarily on the interesting case when $k = 1$. We highlight that in Corollary 2.9, we have the lower bound $\text{MOF}(K_n) \geq n - \frac{2n}{\log(2)}$, and the upper bound $\text{MOF}(K_n) \leq n - \frac{\log(n)}{2}$. It remains to be seen which of these bounds is closer to the truth, and we pose this formally with the following problem.

Problem 4.1. *Which of the bounds presented in Corollary 2.9 is a better approximation to $\text{MOF}(K_n)$?*

Finally, we would like to thank several people for their help with the manuscript. The referees, for making valuable and helpful suggestions to improve the presentation of the paper. Three former students of the second author, for their help in working on this paper: David Amos for many fruitful conversations about early results; Randy Davila for his great help in preparing and reviewing the manuscript; and Mobeen Azhar for his work in writing and developing the computer program which generated the results from Table 1. Their

contributions and enthusiasm helped provide the necessary motivation to make this paper possible.

References

- [1] AIM Minimum Rank-Special Graphs Work Group, Zero forcing sets and the minimum rank of graphs, *Linear Algebra Appl.* **428** (2008), no. 7, 1628–1648.
- [2] D. Amos, Y. Caro, R. Davila, and R. Pepper, Upper bounds on the k -forcing number of a graph, *Discrete Applied Mathematics* **181** (2015), 1-10.
- [3] A. Berliner, M. Catral, L. Hogben, M. Huynh, K. Lied, and M. Young, Minimum rank, maximum nullity, and zero forcing number of simple digraphs, *Electronic Journal of Linear Algebra* **26** (2013), Article 52.
- [4] D. Burgarth and V. Giovannetti, Full control by locally induced relaxation, *Phys. Rev. Lett.* **99** (2007), 100501.
- [5] Y. Caro and R. Pepper, Dynamic approach to k -forcing, *Theory and Applications of Graphs*: Vol. 2: Iss. 2, Article 2, (2015).
- [6] Y. Caro, R. Davila and R. Pepper, Extremal k -forcing sets in oriented graphs, *Discrete Applied Mathematics*, to appear (available online 7 March 2019).
- [7] R. Davila and F. Kenter, Bounds for the zero forcing number of a graph with large girth. *Theory and Applications of Graphs*, Volume 2, Issue 2, Article 1, 2015.
- [8] P. A. Dreyer Jr. and F. S. Roberts, Irreversible k -threshold processes: Graph-theoretic threshold models of the spread of disease and of opinion, *Discrete Applied Mathematics* **157** (2009), 1615–1627.
- [9] C. J. Edholm, L. Hogben, M. Huynh, J. LaGrange and D. D. Row, Vertex and edge spread of the zero forcing number, maximum nullity, and minimum rank of a graph, *Linear Algebra and its Applications*, **436** (2012), 4352-4372.
- [10] P. Erdos and L. Moser, On the representation of directed graphs as unions of orderings, *Publ. Math. Inst. Hungar. Acad. Sci.*, 9 (1964), pp. 125-132.
- [11] L. Hogben, Minimum rank problems, *Linear Algebra and its Applications* 432 (2010), no. 8, 1961 – 1974, Special issue devoted to the 15th {ILAS} Conference at Cancun, Mexico, June 16-20, 2008.
- [12] L. Lu, B. Wu, and Z. Tang, Note: Proof of a conjecture on the zero forcing number of a graph. *Discrete Applied Math.* **213** (2016), 233–237.
- [13] S. A. Meyer, Zero forcing sets and bipartite circulants, *Linear Algebra and its Applications* **436** (2012), 888-900.

- [14] D. Row, *Zero forcing number: Results for computation and comparison with other graph parameters*, Ph.D. Thesis, Iowa State University, 2011.
- [15] E. Szekeres and G. Szekeres, On a problem of Schutte and Erdos, *The Mathematical Gazette*, Vol. 49, No. 369 (Oct., 1965), pp. 290-293.
- [16] D. West, *Introduction to Graph Theory*, second edition, Prentice Hall Inc., Upper Saddle River, NJ, 2001.
- [17] E. Yi, On Zero Forcing Number of Permutation Graphs, *Combinatorial Optimization and Applications*, Lecture Notes in Computer Science Volume 7402, 2012, pp 61-72.
- [18] M. Zhao, L. Kang, and G. J. Chang, Power Domination in Graphs, *Discrete Mathematics* **306** (2006), 1812-1816.