Centers and Institutes Policy

I. Purpose

The purpose of the Centers and Institutes Policy is to formalize the University’s processes for the establishment, substantive change, and deactivation of centers and institutes.

II. Policy Statement

Centers

A center or bureau (referred to as “center” in this document) provides an organizational base for research, study, or continuing education in an academic area or closely related areas. It often provides a vehicle for interdisciplinary research involving faculty and students as well as collaboration among internal administrative units. A center may facilitate efforts of the University to obtain extramural funding or enhance revenue while providing public services, training, or conducting research of benefit to communities. It serves as a formalized link between the academic community and the professional community in a specified area of focus. A center is not an autonomous structure within the internal statutory organization of the University. It is administratively most often a unit of traditional administrative structures, such as departments, divisions, schools, or colleges. A center is not typically involved in the independent offering of credit courses or degree programs.

Institutes

An institute focuses on research, opportunities for interdisciplinary activity, involvement in continuing education activities, efforts to obtain extramural funding, and serves as a link between the academic and professional communities. It is a more formalized structure than a center and may be equivalent to an autonomous unit within the University’s internal structure such as a department, division, school, or college. Institutes may be involved in the offering of credit courses and degree programs.

III. Exclusions

None.
IV. Procedures

Approval of Centers and Institutes
Requests to establish, alter, or deactivate centers shall be made to the provost by the dean of the college in which the center is located or proposed to be located. For institutes located within or closely linked to colleges, the same procedure shall be followed. In the case of institutes that are not located within a college or similar administrative structure, the request shall be made to the provost by the director of the institute or other closely related party. Proposals approved by the provost shall be submitted to the president of the University for final approval.

Requests to establish centers and institutes shall include a rationale for the establishment of the center or institute, an explanation of the relationship of the proposed center or institute to the University’s mission and strategic plan, a statement of long-term goals and short-term objectives of the center or institute, an outline of the proposed budget with short- and long-term revenue sources and projections, a statement of the relationship of the center or institute to existing faculty resources, a description of how the center or institute fits into the University’s strategic plan and existing organizational structure of the University, along with a copy of the proposed organizational chart, and a description of the process by which the proposal for the center or institute was developed and the names of the key contributors to the proposal.

Requests to make a substantive change (purpose, structure, reporting relationship, activities) to an existing center or institute shall include a rationale for the change, an explanation of how the proposed change will improve the effectiveness of the entity, a description of how the change advances the University’s strategic plan, and the budgetary implications of the change.

Requests to deactivate centers or institutes shall include a rationale for the deactivation of the unit, a detailed statement of the financial condition of the center or institute, a prospectus for fulfilling any contractual obligations of the center or institute, and a plan for phasing out the activities of the center or institute, including any recommendations for continuing activities of the deactivated center or institute through other organizational units of the University.

An annual report of approved centers and institutes shall be submitted to the Board of Regents by the Office of the Provost. In addition, the dean or director shall initiate a review of centers and institutes every five years, or upon the recommendation of the provost, focusing on effectiveness in achieving stated outcomes and relevance to the institution’s strategic plan. Such reviews shall result in recommendations to the provost of “enhance,” “maintain,” “reduce,” or “eliminate.”